EXHIBIT 6

REDACTED VERSION OF DOCUMENT SOUGHT TO BE SEALED

Page 1

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION

WAYMO LLC,

Plaintiff,

vs. Case No.

UBER TECHNOLOGIES, INC.; 17-cv-00939-WHA

OTTOMOTTO, LLC; OTTO

TRUCKING LLC,

Defendants.

VIDEOTAPED DEPOSITION OF UBER TECHNOLOGIES, INC.

30(b)(6) REPRESENTATIVE - RANDY HAIMOVICI

CONFIDENTIAL

San Francisco, California

Thursday, December 21, 2017

Volume I

REPORTED BY:

REBECCA L. ROMANO, RPR, CSR No. 12546

JOB NO. 2779670

PAGES 1 - 190

| | | Page 19 |
|----|---|----------|
| 1 | A. Sure. | 10:12:33 |
| 2 | Q. Starting with the Nick Gicinto, what did | |
| 3 | you discuss with Nick Gicinto? | |
| 4 | A. I spoke with Nick Gicinto about the use | |
| 5 | of nonattributable devices. He would call that | 10:12:42 |
| 6 | misattributable devices. | |
| 7 | Q. And so when you say "misattributable | |
| 8 | devices," you use that term interchangeably with | |
| 9 | "nonattributable devices"? | |
| 10 | A. I do. | 10:13:00 |
| 11 | Q. Okay. And when you use that term, | |
| 12 | what what is your understanding of what term | |
| 13 | means? | |
| 14 | A. Yeah, so those are devices that are used | |
| 15 | that can't be linked back to Uber. So by way of | 10:13:05 |
| 16 | example of how Nick described it to me, if there's | |
| 17 | a physical threat to the company, and we want to | |
| 18 | investigate that physical threat, we want to be | |
| 19 | able to do that without having that investigation | |
| 20 | linked back to the company; and probably more | 10:13:24 |
| 21 | more importantly, the employees doing it, for | |
| 22 | safety reasons. | |
| 23 | Q. And what what did you and Nick Gicinto | |
| 24 | discuss specifically about nonattributable devices? | |
| 25 | A. Pretty much what I just told you. He | 10:13:44 |

| | | Page 20 |
|----|---|----------|
| 1 | gave me an example of how they are used. He | 10:13:46 |
| 2 | described the purpose. And and mentioned that | |
| 3 | based on his knowledge, they were only used by | |
| 4 | people in the security department. | |
| 5 | Q. When you say that you discussed with | 10:14:04 |
| 6 | Mr. Gicinto how nonattributable devices are used, | |
| 7 | what did he say in terms of how they were used? | |
| 8 | A. In the same way I just described. | |
| 9 | Q. Okay. Nothing addition nothing | |
| 10 | beyond what you've already | 10:14:15 |
| 11 | A. Not that I recall. | |
| 12 | Q. Okay. And in terms of discussing the | |
| 13 | purpose of using nonattributable devices, did he | |
| 14 | say anything further than what you've already | |
| 15 | provided? | 10:14:23 |
| 16 | A. Not that I recall. It was just basically | |
| 17 | what I told you. | |
| 18 | Q. You said you spoke with Eric Meyhofer? | |
| 19 | A. I did. | |
| 20 | Q. What you did and Eric Meyhofer discuss? | 10:14:34 |
| 21 | A. So Eric and I talked about use of | |
| 22 | nonattributable devices. And he confirmed that | |
| 23 | they are not used by ATG. As I'm sure you know, | |
| 24 | he's the head of ATG. | |
| 25 | We talked about the use of ephemeral | 10:14:47 |
| | | |

| | | Page 21 |
|----|---|----------|
| 1 | communications, and he confirmed that his use of | 10:14:50 |
| 2 | ephemeral communications were limited to social | |
| 3 | reasons almost exclusively, but that there are | |
| 4 | times when he's talking about performance issues | |
| 5 | for employees, where he's used it, that he's never | 10:15:03 |
| 6 | used ephemeral communications to discuss anything | |
| 7 | related to this case. | |
| 8 | That he has an understanding of what and | |
| 9 | how the attorney-client privilege should be used. | |
| 10 | And he's adhered to the knowledge he was given and | 10:15:18 |
| 11 | the training he was given. | |
| 12 | Q. And in your conversations with | |
| 13 | Mr. Meyhofer, were you talking about his use | |
| 14 | specifically or ATG more generally? | |
| 15 | A. His use specifically well, when you | 10:15:33 |
| 16 | say "use," just tell me what you mean. | |
| 17 | Q. So let me let me break it down. | |
| 18 | A. Okay. | |
| 19 | Q. So for for nonattributable devices, | |
| 20 | you said that Mr. Meyhofer told you that they're | 10:15:42 |
| 21 | not used by anyone in ATG; is that right? | |
| 22 | A. That's correct. | |
| 23 | Q. Okay. When you were talking about | |
| 24 | ephemeral communications, did you discuss with | |
| 25 | Mr. Meyhofer whether they are used by others in ATG | 10:15:50 |
| | | |

| | | Page 22 |
|----|---|----------|
| 1 | in addition to him? | 10:15:56 |
| 2 | A. We talked about his use of it. We talked | |
| 3 | about his knowledge of Levandowski's use of it, his | |
| 4 | communications with Travis. We did not go over | |
| 5 | everybody in ATG. | 10:16:08 |
| 6 | Q. Okay. So when you say that Mr. Meyhofer | |
| 7 | told you that nonattributable devices are not used | |
| 8 | by anyone in ATG, what what time frame does that | |
| 9 | cover? Is that for all time? | |
| 10 | A. Yeah. Did not put any limitation on it. | 10:16:26 |
| 11 | No time limitation. They have not been used. | |
| 12 | Q. And then you said you discussed with him | |
| 13 | the use of ephemeral communications with | |
| 14 | Travis Kalanick; is that right? | |
| 15 | A. Right. | 10:16:42 |
| 16 | Q. And what did he tell you about that? | |
| 17 | A. That any communications he had with | |
| 18 | Travis Kalanick, what we are calling ephemeral | |
| 19 | communications, were purely and 100 percent social. | |
| 20 | Q. And you said he also told you about | 10:16:53 |
| 21 | ephemeral communications with Anthony Levandowski; | |
| 22 | is that right? | |
| 23 | A. He did. | |
| 24 | Q. What did he tell you about that? | |
| 25 | A. He said it was mostly social, but there | 10:17:03 |
| | | |

| | | Page 24 |
|----|---|----------|
| 1 | discussions about the current litigation, or would | 10:18:06 |
| 2 | it also include sort of the underlying facts, for | |
| 3 | example, discussions about the acquisition of Otto? | |
| 4 | A. I did not ask him specifically about the | |
| 5 | acquisition of Otto; but, in general, what he said | 10:18:21 |
| 6 | is, he only used it for social reasons and to talk | |
| 7 | about employee issues, and for no other reason. | |
| 8 | I followed up and said, "Did you talk | |
| 9 | about anything related to this case?" He said, no. | |
| 10 | And I said, "Have you ever used ephemeral | 10:18:37 |
| 11 | communications to discuss anything about | |
| 12 | competitors?" And he said, no. | |
| 13 | Q. You said you also talked to Wendy Ray | |
| 14 | to | |
| 15 | A. I did. | 10:18:53 |
| 16 | Q prepare for your testimony? | |
| 17 | And Wendy Ray is an attorney at Morrison | |
| 18 | & Foerster; is that right? | |
| 19 | A. That is correct. | |
| 20 | Q. And what did you and Ms and Ms. Ray | 10:18:59 |
| 21 | discuss? | |
| 22 | A. Yeah, so we talked about privilege review | |
| 23 | in this case pursuant to the document production. | |
| 24 | And we talked about the collection of names of | |
| 25 | we talked about the the their efforts to | 10:19:17 |
| | | |

| | | Page 25 |
|----|---|----------|
| 1 | collect identify people at the company that used | 10:19:19 |
| 2 | ephemeral messaging. | |
| 3 | Q. And what did Ms. Ray tell you about the | |
| 4 | privilege review in this case? | |
| 5 | A. That the process was, when documents were | 10:19:35 |
| 6 | collected, they were sent to Morrison & Foerster, | |
| 7 | and Morrison & Foerster reviewed those documents to | |
| 8 | determine whether they were privileged or not | |
| 9 | privileged. And that was Morrison & Foerster's | |
| 10 | responsibility. | 10:19:49 |
| 11 | Q. And did they review each document, or did | |
| 12 | they rely on, I guess, computer programs that | |
| 13 | that might be able to exclude privilege | |
| 14 | information? | |
| 15 | MR. BRILLE: Objection. Form. | 10:20:02 |
| 16 | THE DEPONENT: Okay. I didn't get into | |
| 17 | the specifics of how they did it. | |
| 18 | Q. (By Ms. Roberts) Okay. | |
| 19 | A. I just confirmed the fact that the | |
| 20 | responsibility of reviewing the document production | 10:20:10 |
| 21 | and making privileged determinations was done by | |
| 22 | Morrison & Foerster. | |
| 23 | Q. Okay. But you don't know the actual | |
| 24 | steps they took to go through that process? | |
| 25 | A. I don't. | 10:20:21 |

| | | Page 28 |
|----|---|----------|
| 1 | A. You got it. | 10:22:53 |
| 2 | Q. So this is the list that you discussed | |
| 3 | with Ms. Ray? | |
| 4 | A. That's correct. | |
| 5 | Q. Okay. Other than privilege review in | 10:23:04 |
| 6 | this case and the efforts to determine who at Uber | |
| 7 | uses ephemeral messaging, is there anything else | |
| 8 | that you discussed with Ms. Ray to prepare for your | |
| 9 | testimony today? | |
| 10 | A. Not that I remember. | 10:23:15 |
| 11 | Q. You also identified Sidney Majalya as | |
| 12 | someone you spoke with? | |
| 13 | A. I did. | |
| 14 | Q. What did you and Mr. Majalya discuss? | |
| 15 | A. I spoke with Sidney about whether, as | 10:23:24 |
| 16 | somebody who works in compliance, it was reported | |
| 17 | to him that somebody misused the attorney-client | |
| 18 | privilege. | |
| 19 | So, in other words, marking a document | |
| 20 | privileged that shouldn't be; and if that had been | 10:23:38 |
| 21 | reported to him, what he would have done in | |
| 22 | response. | |
| 23 | And Sidney said that that had not been | |
| 24 | reported to him. But that if it had been, that he | |
| 25 | would have taken whatever appropriate, you know, | 10:23:51 |
| | | |

| | · | |
|----|---|----------|
| | | Page 29 |
| 1 | remediation was necessary, training or or | 10:23:56 |
| 2 | otherwise. | |
| 3 | Q. Is there anything else you discussed with | |
| 4 | Mr. Majalya? | |
| 5 | A. Nope. That's what I remember. | 10:24:09 |
| 6 | Q. And so you you asked him if he'd ever | |
| 7 | received any reports of misuse of the | |
| 8 | attorney-client designation; is that right? | |
| 9 | A. That's exactly what I asked him. | |
| 10 | Q. And he said, no, he never received any | 10:24:19 |
| 11 | such reports? | |
| 12 | A. That is what he said. | |
| 13 | Q. Okay. Did you discuss Mr. Jacobs's | |
| 14 | allegations with him? | |
| 15 | A. I did not. | 10:24:26 |
| 16 | Q. Okay. So because Mr. Jacobs I am not | |
| 17 | sure, have you read Mr. Jacobs' | |
| 18 | A. I have. | |
| 19 | Q. Okay. So Mr. Jacobs says that that | |
| 20 | employees at Uber misused the attorney-client | 10:24:37 |
| 21 | privilege designation. | |
| 22 | You are aware that he has alleged that? | |
| 23 | MR. BRILLE: I'm going to object to form | |
| 24 | and scope. And I will note for the record that | |
| 25 | based on the topics of in the 30(b)(6), that we | 10:24:47 |
| | | |

| | | Page 33 |
|----|--|----------|
| 1 | Q. You said she's in ER? | 10:27:35 |
| 2 | A. Employee relations. | |
| 3 | Q. Employee relations? | |
| 4 | A. Right. | I |
| 5 | Q. Okay. And you could not remember her | 10:27:40 |
| 6 | last name? | |
| 7 | A. No, I know her last name. I just don't | |
| 8 | want to | |
| 9 | Q. So you can't pronounce her last name? | |
| 10 | Sorry. Sorry. | 10:27:46 |
| 11 | MR. BRILLE: You need to just slow down | |
| 12 | for her. | |
| 13 | THE DEPONENT: She's a friend of mine, so | |
| 14 | I don't want to mispronounce it. But the | |
| 15 | discussion with her was, you know, very identical | 10:27:55 |
| 16 | discussion I had with Sidney. And the results were | |
| 17 | the same. | |
| 18 | Q. (By Ms. Roberts) So you asked Pam if | |
| 19 | she'd received any reports of misuse of the | |
| 20 | attorney-client privilege designation? | 10:28:10 |
| 21 | A. That is correct. In essence. | |
| 22 | Q. Okay. And did you limit the scope of | |
| 23 | that to excluding the Jacobs letter? | |
| 24 | A. Right. | |
| 25 | Q. Okay. So you specifically limited the | 10:28:20 |
| | | |

| | | Page 34 |
|----|---|----------|
| 1 | scope when you were talking to her? | 10:28:24 |
| 2 | A. Yes. | |
| 3 | Q. Okay. And what did she say in response? | |
| 4 | A. Same thing Sidney said. She's never | |
| 5 | received any reports like that, that came | 10:28:32 |
| 6 | through that she had to investigate. So it | |
| 7 | wasn't anything that had come to her for her to | |
| 8 | take action on; but that if it did, she would | |
| 9 | you know, she would do the same thing Sidney did. | |
| 10 | She would take whatever steps are | 10:28:51 |
| 11 | necessary to correct it, provide training, whatever | |
| 12 | the course may be. And she mentioned that not as a | |
| 13 | result of investigating that claim, but in her | |
| 14 | work, if she saw somebody who was stating something | |
| 15 | that was privileged when it was not, she would | 10:29:06 |
| 16 | correct that person based on the training she has. | |
| 17 | Q. You said Pam is in employee relations; is | |
| 18 | that right? | |
| 19 | A. That's correct. | |
| 20 | Q. Is she an attorney? | 10:29:23 |
| 21 | A. She is not. | |
| 22 | Q. Okay. Can you just explain for for | |
| 23 | me Mr. Majalya is a compliance attorney; Pam is | |
| 24 | in employee relations sort of what method, | |
| 25 | reports of misuse of privilege would get escalated | 10:29:35 |
| | | |

| | | Page 36 |
|----|--|----------|
| 1 | A. We talked about document productions. We | _ |
| 2 | talked about privilege review. We talked about | |
| 3 | document retention. | |
| 4 | Q. Anything else? | |
| 5 | A. That's what I remember right now. | 10:30:51 |
| 6 | Q. And who is Mr. Murray? | |
| 7 | A. He is our global head of ediscovery and | |
| 8 | information governance. | |
| 9 | Q. Is he an attorney? | |
| 10 | A. He is not. | 10:31:03 |
| 11 | Q. But he works internally at Uber on | |
| 12 | ediscovery issues, is that | |
| 13 | A. Ediscovery and information governance. | |
| 14 | Q. When you say "information governance," | |
| 15 | what what do you mean by that? | 10:31:14 |
| 16 | A. I mean, the that's a broad question, | |
| 17 | but I'll give you a high-level broad answer. | |
| 18 | I mean the way the company manages its | |
| 19 | information, all of it, everything. | |
| 20 | Q. You you said you discussed with | 10:31:29 |
| 21 | Mr. Murray document production? | |
| 22 | A. I did. | |
| 23 | Q. Okay. What did you discuss about that? | |
| 24 | A. We talked about the process for privilege | |
| 25 | view. And he confirmed that when we're in | 10:31:35 |
| Ī | | |

| | | Page 37 |
|----|---|----------|
| 1 | litigation, and we have a document production, that | 10:31:38 |
| 2 | the document production is sent to our outside | |
| 3 | counsel, and that the outside counsel is | |
| 4 | responsible for conducting a privilege review. | |
| 5 | Q. You also listed privilege review as | 10:31:51 |
| 6 | something that you discussed with with | |
| 7 | Mr. Murray. | |
| 8 | Did you discuss anything else beyond what | |
| 9 | you just said? | |
| 10 | A. No. | 10:31:58 |
| 11 | Q. Okay. And you said you discussed | |
| 12 | document retention with Mr. Murray. | |
| 13 | What did you discuss about that? | |
| 14 | A. I don't remember all of the conversation, | |
| 15 | but, in essence, what the document retention is for | 10:32:07 |
| 16 | emails and documents, chat applications, you know, | |
| 17 | normally when people are on litigation hold, that's | |
| 18 | the essence it was the essence for our | |
| 19 | conversation. | |
| 20 | Q. Anything other than document privilege | 10:32:27 |
| 21 | review and document retention discussed with | |
| 22 | Mr. Murray? | |
| 23 | A. Not that I remember. | |
| 24 | Q. You also said you talked to Mia Mazza? | |
| 25 | A. I did. | 10:32:41 |
| | | |

| | | Page 48 |
|----|---|----------|
| 1 | Q can you tell me what you did to | 10:44:32 |
| 2 | prepare to testify on that topic? | |
| 3 | A. Give me one second here. | |
| 4 | Q. Sure. | |
| 5 | A. I mean, it would be all the same things | 10:44:43 |
| 6 | I've already identified to you with respect to the | |
| 7 | use of the attorney-client privilege. | |
| 8 | I have talked to a lot of the same | |
| 9 | people, reviewed a lot of the same docs. And then, | |
| 10 | of course, just based on my own knowledge of | 10:44:57 |
| 11 | company policies and procedures. | |
| 12 | Q. Going back to the people you spoke with | |
| 13 | about attorney-client privilege, which included | |
| 14 | Mr. Majalya and Pam | |
| 15 | A. Uh-huh. | 10:45:16 |
| 16 | Q why is it that you asked them about | |
| 17 | whether they were aware of any reports of misuse of | |
| 18 | the privilege designation? | |
| 19 | A. Because they they are the two people I | |
| 20 | know in the company that if there was a report | 10:45:26 |
| 21 | about the misuse of it, they are likely to be the | |
| 22 | ones that would investigate it. | |
| 23 | So they are a good resource to know if | |
| 24 | this is something that is being reported and | |
| 25 | something that needs investigation. | 10:45:37 |

| | | Page 50 |
|----|---|----------|
| 1 | knowledge of reports of misuse of the | 10:46:31 |
| 2 | attorney-client privilege designation? | 10 10 0- |
| 3 | A. I did not. But, like I said, I think, | |
| 4 | more than likely, that would go to those two | |
| | | 10.46.40 |
| 5 | individuals. | 10:46:40 |
| 6 | Q. Mr. Majalya isn't the only compliance | |
| 7 | attorney in the company; is that correct? | |
| 8 | A. He's not. | |
| 9 | Q. Okay. Is there some reason why you | |
| 10 | thought that it only speaking with him and not | 10:46:54 |
| 11 | other compliance attorneys would be sufficient? | |
| 12 | A. I did. Because he oversees compliance. | |
| 13 | He's in charge of compliance. | |
| 14 | Q. Okay. | |
| 15 | A. And the same applies to Pam. I mean, | 10:47:05 |
| 16 | she's in charge of ER. | |
| 17 | Q. Gotcha. | |
| 18 | For Topic 3.3, you said to to prepare | |
| 19 | for it, you you talked to the same people and | |
| 20 | reviewed the same documents; is that correct? | 10:47:26 |
| 21 | A. In essence, yes, related to | |
| 22 | attorney-client privilege used. And, plus, my own | |
| 23 | knowledge of what happens at the company with | |
| 24 | respect to training on attorney-client privilege | |
| 25 | and policies. | 10:47:40 |
| | | |

| | | Page 51 |
|----|--|----------|
| 1 | Q. And so, is there anything further that | 10:47:49 |
| 2 | you did to prepare to testify on Topics 2, and 3.3 | |
| 3 | that we haven't discussed already? | |
| 4 | A. Not that I remember. | |
| 5 | Q. You mentioned your awareness of the | 10:48:02 |
| 6 | training that's done on attorney-client privilege? | |
| 7 | A. I did. | |
| 8 | Q. Okay. Can you tell me what what | |
| 9 | training is done? | |
| 10 | A. So at a high level, when new employees | 10:48:12 |
| 11 | are hired, they receive training on the | |
| 12 | attorney-client privilege, and then there's | |
| 13 | training that happens periodically throughout the | |
| 14 | year for different groups in the business. | |
| 15 | Q. Who does the trainings? | 10:48:33 |
| 16 | A. Different people mostly, the people I | |
| 17 | know are all in the legal department. Many of | |
| 18 | those people are in my department. But different | |
| 19 | people do it. | |
| 20 | Q. So let me break it down. | 10:48:45 |
| 21 | You said there's training for new hires? | |
| 22 | A. Uh-huh. That's correct. | |
| 23 | Q. Who is responsible for trainings for new | |
| 24 | hires? | |
| 25 | A. I I don't know specifically who is | 10:48:52 |
| | | |

| | | Page 52 |
|----|---|----------|
| 1 | responsible for it, but there's there is | 10:48:54 |
| 2 | training that goes on. It's not it's a video. | |
| 3 | Q. Okay. And then you said there's periodic | |
| 4 | training? | |
| 5 | A. That's correct. | 10:49:09 |
| 6 | Q. Okay. And the periodic training is for | |
| 7 | various different departments at different times? | |
| 8 | A. That's right. | |
| 9 | Q. Okay. And it's put on by somebody in | |
| 10 | legal at all times? | 10:49:20 |
| 11 | A. Typ typically, a lawyer in legal. I | |
| 12 | would say almost always a lawyer in legal. | |
| 13 | Q. And is there a particular group within | |
| 14 | legal that is responsible for that? | |
| 15 | A. There's not. Not formally. But a lot of | 10:49:34 |
| 16 | the times, people in my department do it. | |
| 17 | Q. And when you say your department, you | |
| 18 | mean litigation? | |
| 19 | A. I do. | |
| 20 | Q. Okay. Have you ever done one of these | 10:49:44 |
| 21 | trainings? | |
| 22 | A. You know, I was scheduled to do one, and | |
| 23 | then it got canceled. And I'm scheduled to do one | |
| 24 | either in January or March. But others in my | |
| 25 | department have. And I participate in the | 10:49:53 |

| | | Page 53 |
|----|---|----------|
| 1 | preparation of the materials for the training. | 10:49:57 |
| 2 | Q. Are there is it the same materials | |
| 3 | that are used for trainings over and over, or do | |
| 4 | they get changed? | |
| 5 | A. In essence, they are the same, you know. | 10:50:13 |
| 6 | In essence, they are the same. Sometimes there is | |
| 7 | tweaks to it for the particular audience that you | |
| 8 | are talking to. All right? | |
| 9 | We are talking to nonlawyers, so we've | |
| 10 | got to try to present it in a way that, you know, | 10:50:24 |
| 11 | will be palatable to them and and capture their | |
| 12 | attention. We want them to pay attention. | |
| 13 | So nothing really in substance changes as | |
| 14 | far as, you know, what the goals of the training | |
| 15 | are. But little tweaks to the presentations are | 10:50:37 |
| 16 | sometimes present. | |
| 17 | Q. Is there are these periodic trainings | |
| 18 | given on any sort of routine basis? | |
| 19 | A. I wouldn't call it routine. I would just | |
| 20 | say as needed. | 10:50:59 |
| 21 | Q. When you say "as needed," how is it | |
| 22 | determined that it is as needed? | |
| 23 | A. It could be a variety of different ways. | |
| 24 | We could be asked. We and when I say "we," I | |
| 25 | mean we in the litigation department or people in | 10:51:14 |

| | | Page 54 |
|----|---|----------|
| 1 | legal. We could be asked. We could make a | 10:51:16 |
| 2 | determination on our own that it should be done. | |
| 3 | Those are the ways I know of. | |
| 4 | Q. When the legal department is asked to do | |
| 5 | one of these periodic trainings about | 10:51:32 |
| 6 | attorney-client privilege, is that because, you | |
| 7 | know, people need a refresher on how to do it | |
| 8 | properly? | |
| 9 | A. I I don't recall why people have | |
| 10 | asked. I just know it's been asked for. I can't | 10:51:41 |
| 11 | tell you what the reasons for it were. You know, | |
| 12 | the goals remain the same, so it's irrelevant to me | |
| 13 | whether somebody asks or whether we decide to | |
| 14 | affirmatively do it. The goal is the same. | |
| 15 | Q. And when the legal department decides to | 10:51:55 |
| 16 | affirmatively do it, is that because somebody in | |
| 17 | the legal department has noticed these nonlawyers | |
| 18 | kind of need more training? They they are not | |
| 19 | doing it right? | |
| 20 | A. Well, the reason for it is this, right? | 10:52:06 |
| 21 | And it's probably hard to get if you've you | |
| 22 | know, you are a lawyer. You've spent your life at | |
| 23 | a firm, but just just think about dealing with a | |
| 24 | company of mostly nonlawyers. They don't know | |
| 25 | anything about litigation. They don't know about | 10:52:19 |

| | | Page 55 |
|----|--|----------|
| 1 | discovery. They don't know probably what the | 10:52:23 |
| 2 | attorney-client privilege means. | |
| 3 | And we know. We have new people come to | |
| 4 | the company all the time. And so we will go out | |
| 5 | there periodically and just make sure we do the | 10:52:32 |
| 6 | training because we want people to understand what | |
| 7 | the privilege is and make sure they are trained | |
| 8 | appropriately on it. | |
| 9 | Q. So there you don't recall any of these | |
| 10 | periodic trainings being because of, like, a | 10:52:44 |
| 11 | particular need to or identification of people | |
| 12 | not using the privilege correctly? | |
| 13 | A. No, no. | |
| 14 | Q. All right. Let's talk about ephemeral | |
| 15 | messaging in a little bit more detail. | 10:53:03 |
| 16 | A. Okay. | |
| 17 | Q. So we'll we'll start with Exhibit 9726 | |
| 18 | that you have. | |
| 19 | A. Uh-huh. | |
| 20 | Q. And Tab 1 is the personnel who have used | 10:53:22 |
| 21 | Wickr or similar platform; is that right? | |
| 22 | A. I'm there. Yup. | |
| 23 | Q. And you've discussed this with Ms. Ray, | |
| 24 | correct? | |
| 25 | A. I did. | 10:53:36 |
| | | |

| | | Page 61 |
|----|--|----------|
| 1 | A. It would be platforms or chat apps, | 10:59:26 |
| 2 | whatever words you want to use that are considered | |
| 3 | ephemeral. | |
| 4 | Q. And when you say "ephemeral," what do you | |
| 5 | mean by that? | 10:59:32 |
| 6 | A. Well, I mean temporary. So that's all | |
| 7 | ephemeral means, is that it's retained for a | |
| 8 | certain period of time. Sort of like Google and | |
| 9 | off the record. Right? It's temporary. | |
| 10 | Same with Wickr. It's temporary. | 10:59:44 |
| 11 | Q. Okay. And so HipChat was not? | |
| 12 | A. HipChat had a retention to it. But I | |
| 13 | just don't think people typically thought of | |
| 14 | HipChat as ephemeral, the way they think of | |
| 15 | Google Hangouts or Wickr. | 10:59:58 |
| 16 | Q. And did you discuss with anybody whether | |
| 17 | HipChat qualified as being ephemeral or not? | |
| 18 | A. I did not. It was just based on I | |
| 19 | don't know if I talked to anybody about that | |
| 20 | specifically. I really don't remember. But | 11:00:21 |
| 21 | just I just don't think people consider it to be | |
| 22 | ephemeral the way they do Google Hangouts with off | |
| 23 | the record or Wickr. | |
| 24 | So that's that's the most I can tell | |
| 25 | you. | 11:00:33 |
| 1 | | |

| | | Page 63 |
|---------------------|-------------------------------------|----------|
| 1 Q. Did | did Uber have an account and | 11:01:39 |
| 2 provide HipChat | to to users? | |
| 3 A. Yes, y | es. | |
| 4 Q. Okay. | For uChat, you said there was a | |
| 5 transition to uC | hat earlier this year; is that | 11:01:51 |
| 6 correct? | | |
| 7 A. I did. | | |
| 8 Q. Okay. | And uChat is not listed on Tab 1? | |
| 9 A. Same r | easons. Same answers. | |
| 10 Q. And so | we have a clear record, what is | 11:02:02 |
| 11 what is the reas | on your understanding of why | |
| 12 uChat wasn't inc | luded? | |
| 13 A. The sa | me reason, that I don't think the | |
| 14 way people think | about ephemeral communications | |
| 15 they considered | uChat to be ephemeral. | 11:02:16 |
| 16 Q. You've | mentioned Google Hangouts, | |
| 17 correct? | | |
| 18 A. I did. | | |
| 19 Q. Okay. | And that does appear on | |
| 20 Tab 1 occasional | ly. | 11:02:53 |
| 21 What i | s your understanding of Hangouts? | |
| 22 A. That i | t's a chat application that has off | |
| 23 the record and o | n the record, so it can be | |
| 24 ephemeral. And | that's the full extent of what I | |
| 25 know. | | 11:03:12 |

| | | Page 77 |
|----|---|----------|
| 1 | purposes," were there specific business purposes it | 11:28:53 |
| 2 | was | |
| 3 | A. I don't know if they were specific, but I | |
| 4 | know people on the security team used it. | |
| 5 | Q. Was there any guidance provided to | 11:29:02 |
| 6 | employees about when it was appropriate to use | |
| 7 | WickrMe for business purposes? | |
| 8 | A. Well, there was there's guidance on | |
| 9 | when not to use it and but it doesn't just apply | |
| 10 | to WickrMe. It applies to all chat applications. | 11:29:16 |
| 11 | And the guidance was not to use it to discuss | |
| 12 | topics that are subject to a litigation hold. | |
| 13 | Q. And that was a guidance for all chat | |
| 14 | applications | |
| 15 | A. Yes. | 11:29:31 |
| 16 | Q is that what you said? | |
| 17 | And so that, that guidance to not use | |
| 18 | these chat applications for subjects covered by a | |
| 19 | litigation hold, that would really only come into | |
| 20 | play once there was some reason to have a | 11:29:49 |
| 21 | litigation hold | |
| 22 | A. That is correct. | |
| 23 | Q correct? | |
| 24 | Okay. So, for example, the Uber | |
| 25 | acquired Otto well before this lawsuit was filed. | 11:29:59 |
| | | |

| | | Page 85 |
|----|--|----------|
| 1 | look at the bottom of Exhibit 9729 | 11:38:55 |
| 2 | A. Okay. | |
| 3 | Q the paragraph that says, "Uber Chat | |
| 4 | Applications are the following" | |
| 5 | A. Yes. | 11:39:05 |
| 6 | Q and does that list uChat and | |
| 7 | Google Hangouts as authorized chat applications? | |
| 8 | A. Well, it it it identifies them in | |
| 9 | there, and then it talks about them on the next | |
| 10 | page. But, yes. | 11:39:18 |
| 11 | Q. And this paragraph says that, "All other | |
| 12 | chat applications, including but not limited to | |
| 13 | Wickr, Telegram, Signal, WeChat, and Snapchat, are | |
| 14 | not Uber Chat Applications and employees are | |
| 15 | prohibited from using these for business | 11:39:28 |
| 16 | communications, " correct? | |
| 17 | A. That is correct as of the date this | |
| 18 | policy went into place in September, true. | |
| 19 | Q. And prior to this date, employees were | |
| 20 | not prohibited from using any of any of those | 11:39:41 |
| 21 | communications applications listed there? | |
| 22 | A. Well, except for the fact they were | |
| 23 | prohibited from using them if they wanted to talk | |
| 24 | about things that were subject to a litigation | |
| 25 | hold. | 11:39:53 |

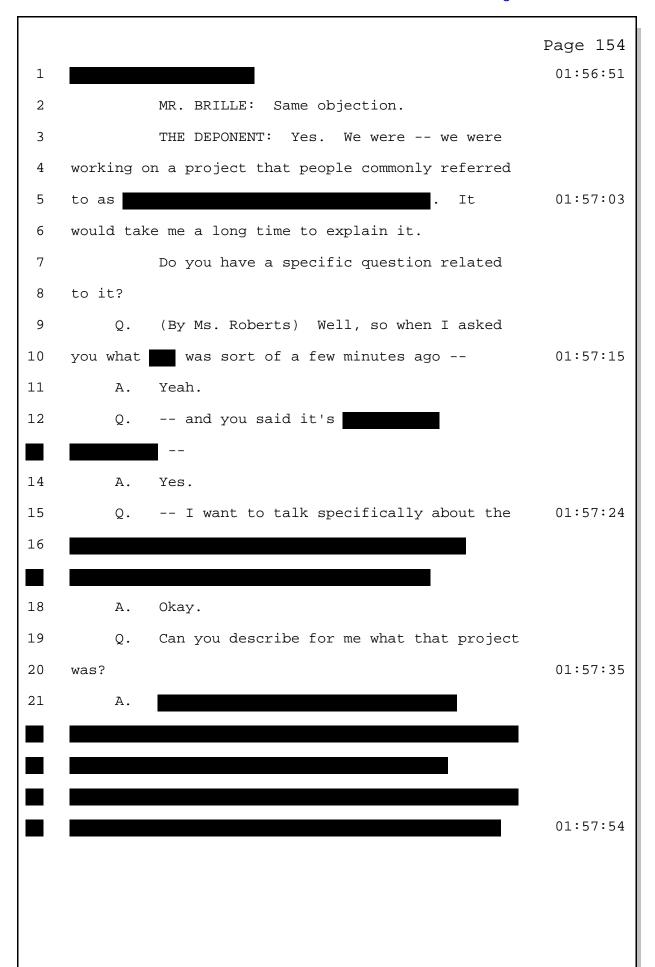
| | | Page 120 |
|----|---|----------|
| 1 | But, again, I don't know. | 01:03:03 |
| 2 | Q. And remind me: Your understanding that | |
| 3 | the security department is the only department that | |
| 4 | has used nonattributable devices, what is that | |
| 5 | based on? | 01:03:11 |
| 6 | A. It's based on my own personal knowledge, | |
| 7 | my discussion with Nick Gicinto and my discussion | |
| 8 | with Eric Meyhofer and and just my own | |
| 9 | knowledge. | |
| 10 | Q. Would the security department track the | 01:03:24 |
| 11 | use of nonattributable devices by other | |
| 12 | departments? | |
| 13 | A. No, they would not. | |
| 14 | Q. Okay. | |
| 15 | A. I'm not | 01:03:34 |
| 16 | Q. Sorry. | |
| 17 | A. That was my fault. You go ahead. | |
| 18 | Q. That's knowing the total use of | |
| 19 | nonattributable devices within the company is | |
| 20 | part not part of the umbrella of the security | 01:03:42 |
| 21 | department's obligations or duties? | |
| 22 | A. Well, that's that's a strange way to | |
| 23 | ask the question. So let me answer it this way: I | |
| 24 | wouldn't think Nick, who provided this information, | |
| 25 | would be analyzing that for other departments. | 01:03:57 |

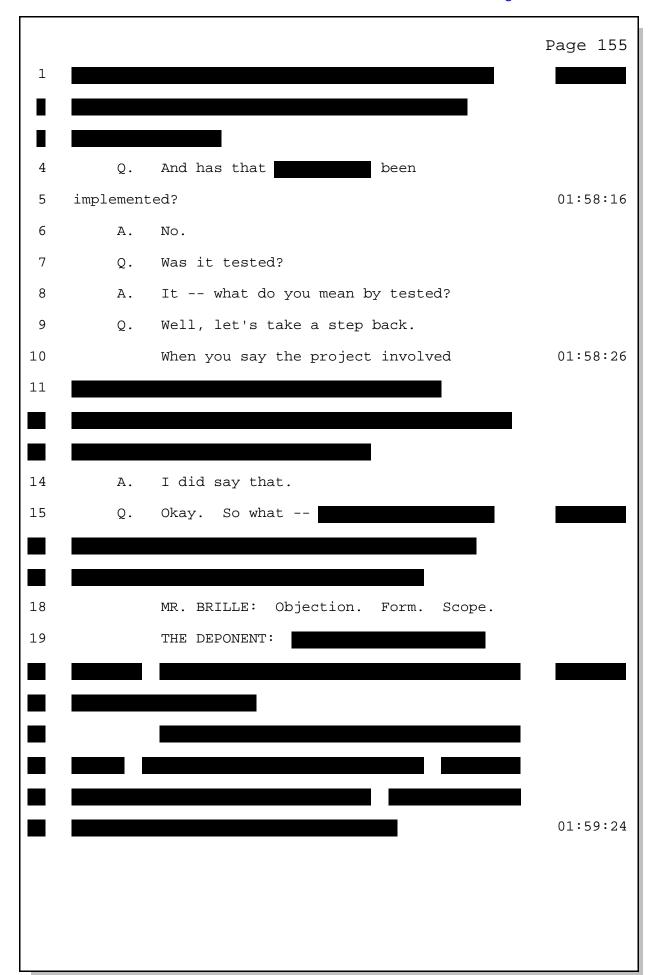
| | | Page 132 |
|----|---|----------|
| 1 | department? | 01:18:35 |
| 2 | A. Yes. | |
| 3 | Q. Do nonlawyers provide trainings or | |
| 4 | guidance on when other employees should use | |
| 5 | attorney-client privilege designations? | 01:18:46 |
| 6 | A. Not that aware of, but I didn't want to | |
| 7 | be 100 percent on that it's only people in the | |
| 8 | legal department. Because, for example, I | |
| 9 | explained to you that Pam said that if she saw | |
| 10 | somebody using the privilege in a way they | 01:18:58 |
| 11 | shouldn't be doing it, she would correct them. | |
| 12 | Q. And did you investigate whether, for | |
| 13 | example, department heads give trainings to their | |
| 14 | employees about the use of the attorney-client | |
| 15 | privilege? | 01:19:09 |
| 16 | A. I I did not. | |
| 17 | Q. Does Uber instruct its employees to | |
| 18 | include attorneys in the "to" line of emails so | |
| 19 | that Uber can argue that the email is privileged? | |
| 20 | MR. BRILLE: Objection. Form. | 01:19:40 |
| 21 | THE DEPONENT: That would be inconsistent | |
| 22 | with our training. | |
| 23 | Q. (By Ms. Roberts) And you are not aware | |
| 24 | of whether individual employees have done that? | |
| 25 | A. I am not. | 01:19:47 |
| | | |

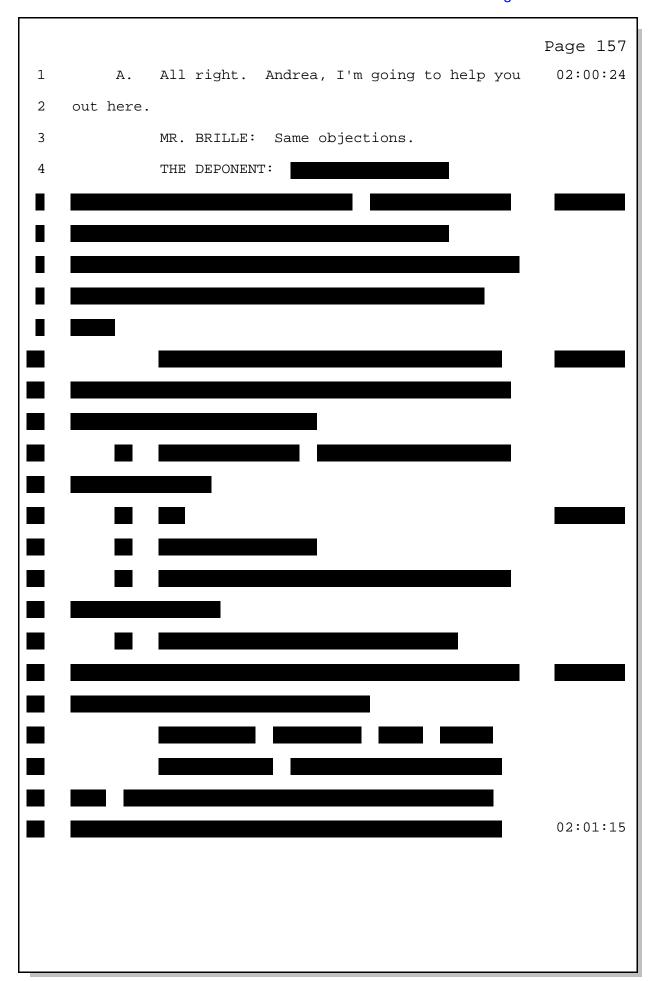
| | | Page 141 |
|----|---|----------|
| 1 | Craig Clark. | 01:31:28 |
| 2 | Q. He's cc'd on the email, correct? | |
| 3 | A. Right. Yes. | |
| 4 | Q. And Craig Clark is an attorney? | |
| 5 | A. He is. | 01:31:33 |
| 6 | Q. And he was an internal Uber attorney, | |
| 7 | correct? | |
| 8 | A. Yes. | |
| 9 | Q. Okay. And is this consistent with | |
| 10 | with Uber policy to add an attorney and label | 01:31:42 |
| 11 | everything privileged? | |
| 12 | MR. BRILLE: Objection. Form. | |
| 13 | THE DEPONENT: Yeah. So I don't know the | |
| 14 | context of this document, so you are asking me on | |
| 15 | the fly. I would say, that's not consistent with | 01:31:54 |
| 16 | our policy; and, again, why we have our outside | |
| 17 | counsel making the privilege calls, which is also | |
| 18 | how you just like the other documents you have | |
| 19 | shown me, this is how it got into your hands. | |
| 20 | Q. (By Ms. Roberts) I'm going to hand you | 01:32:32 |
| 21 | what was previously marked as Exhibit 9022. | |
| 22 | Let me know when you are ready. | |
| 23 | Have you seen this document before? | |
| 24 | A. I have. | |
| 25 | Q. When did you see this document? | 01:33:03 |

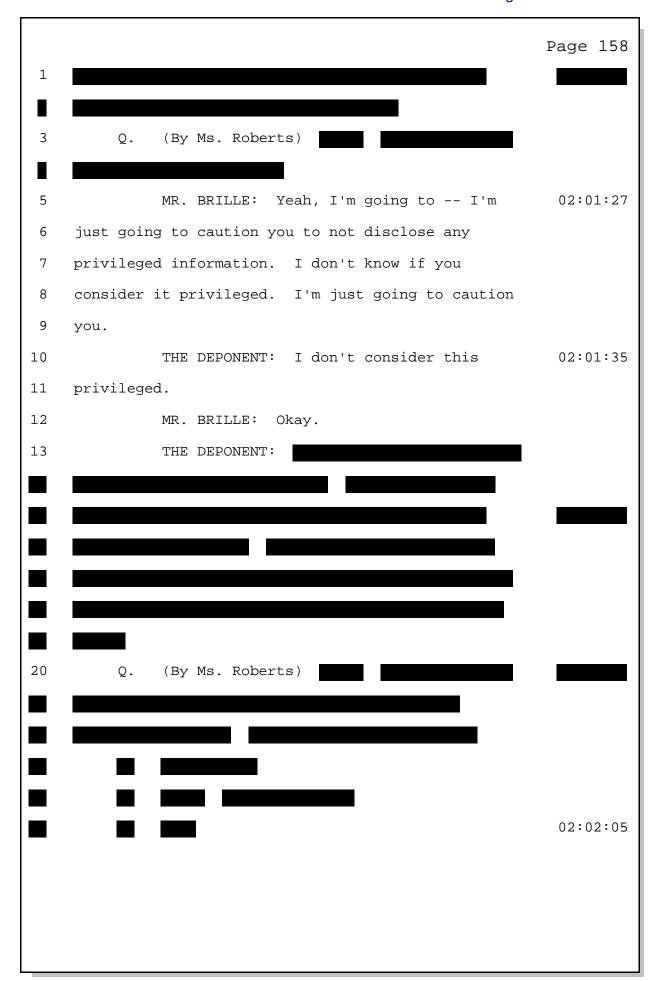
| | | Page 142 |
|----|---|----------|
| 1 | A. You know, I looked at it in preparing for | 01:33:04 |
| 2 | the deposition, but I had seen it before. | |
| 3 | Q. When did you see it before? | |
| 4 | A. I don't recall, at some some point in | |
| 5 | the last year. | 01:33:10 |
| 6 | Q. Did you see it before Mr. Jacobs' letter | |
| 7 | was disclosed in this litigation? | |
| 8 | A. Yes. | |
| 9 | Q. Okay. So you saw it outside of the | |
| 10 | context of what's going on in this case? | 01:33:23 |
| 11 | A. As I said, yes. | |
| 12 | Q. And if you could take a minute, but | |
| 13 | I'm I'm wondering if this is the same version | |
| 14 | that you saw. | |
| 15 | A. Okay. Let me look through and see. | 01:33:36 |
| 16 | I think this is the same version I saw. | |
| 17 | Q. Do you know if there are more than one | |
| 18 | version of this presentation? | |
| 19 | A. I don't think there are. I can't I | |
| 20 | mean, I can't be 100 percent, but I really don't | 01:34:13 |
| 21 | think there are. There is sorry. | |
| 22 | Q. I will represent to you that in | |
| 23 | Mr. Jacobs' letter, he says that the presentation | |
| 24 | that he saw Mr. Clark give didn't have any Uber | |
| 25 | branding on it. | 01:34:27 |
| | | |

```
Page 143
              Whereas, the first page of this exhibit 01:34:28
 1
    does have Uber. So that's why I was asking if you
     were aware of other versions.
 3
         A. Yeah. I -- you know, again, I wasn't
 4
     responsible for the Jacobs investigation. But I -- 01:34:40
 5
     I -- you know, I just think he's wrong about that.
 6
 7
         Q. In what context did you see this
 8
    presentation prior to today?
         A. It was in discussing with others in the
 9
    department, giving training on the use of the
                                                   01:35:01
10
11
     attorney-client privilege. This was sent to me in
12
     the context of that. I think Craig forwarded it to
13
    Angela, and Angela sent it to me.
              And what was discussed about training in
14
          Ο.
     the context of this presentation?
                                                           01:35:15
15
              MR. BRILLE: I'm going to object to the
16
     extent you are asking him to disclose privileged
17
18
     communications, but...
19
              THE DEPONENT: Okay. I'm not going to
    disclose privileged communications --
                                                           01:35:24
20
              MR. BRILLE: Yeah.
21
22
              THE DEPONENT: -- but just to make it
     easier, we discussed training.
23
24
          Q. (By Ms. Roberts) Was this presentation
                                                           01:35:31
25
    used in more than one training?
```

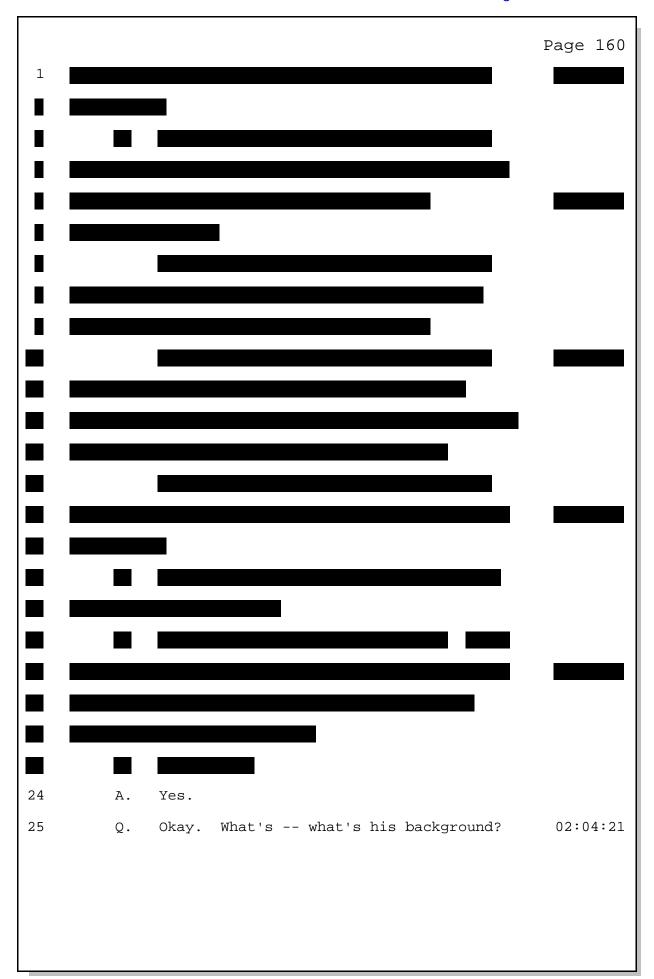


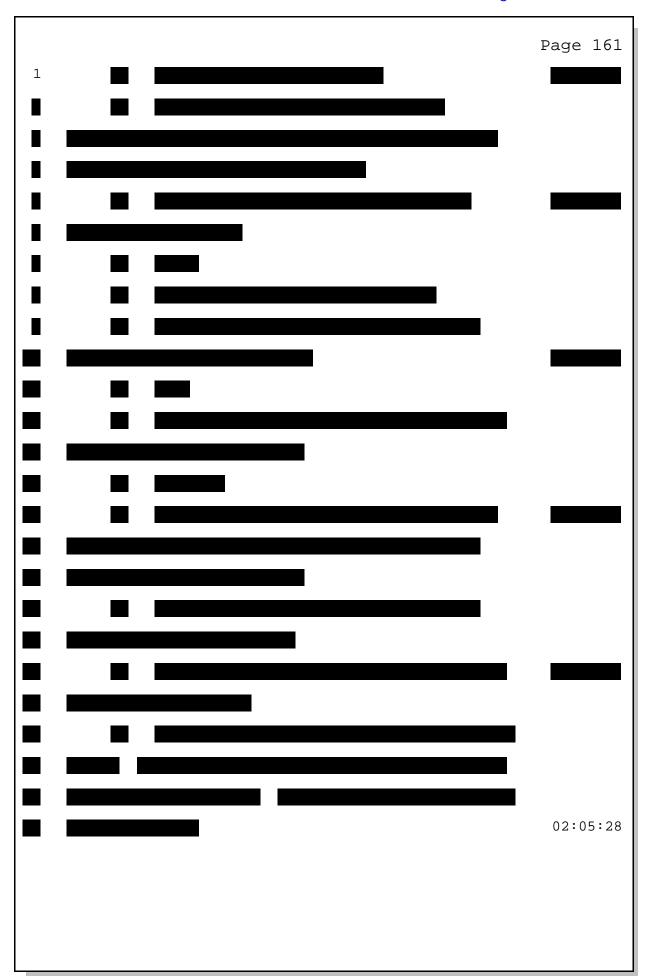


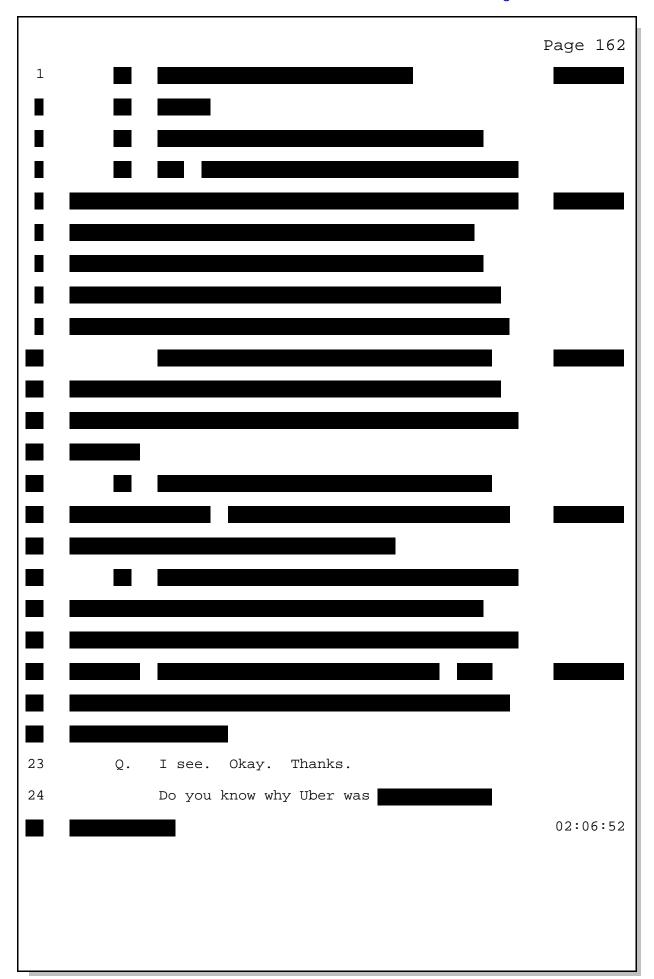


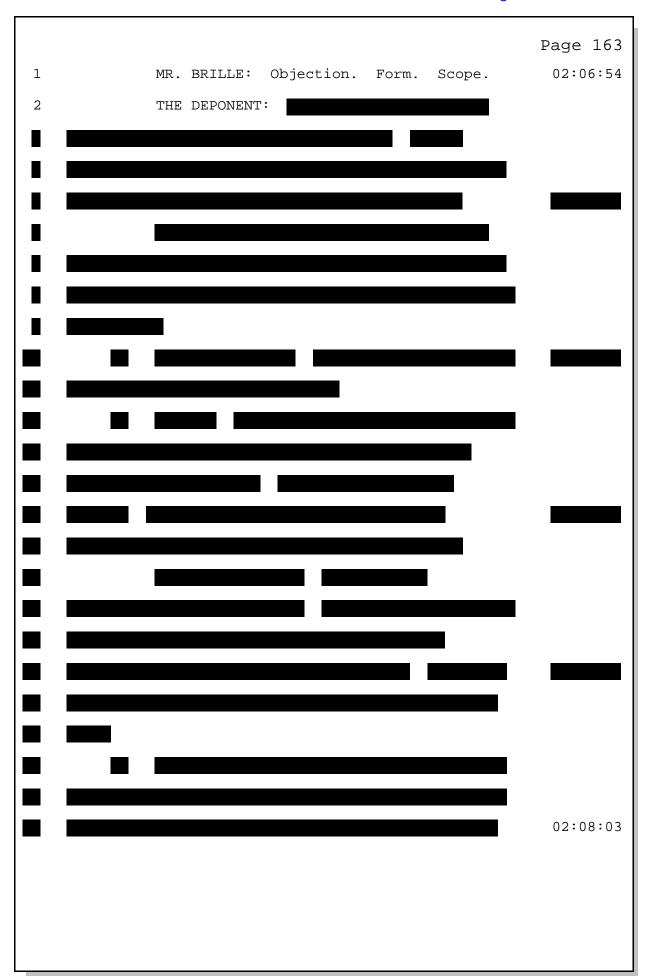


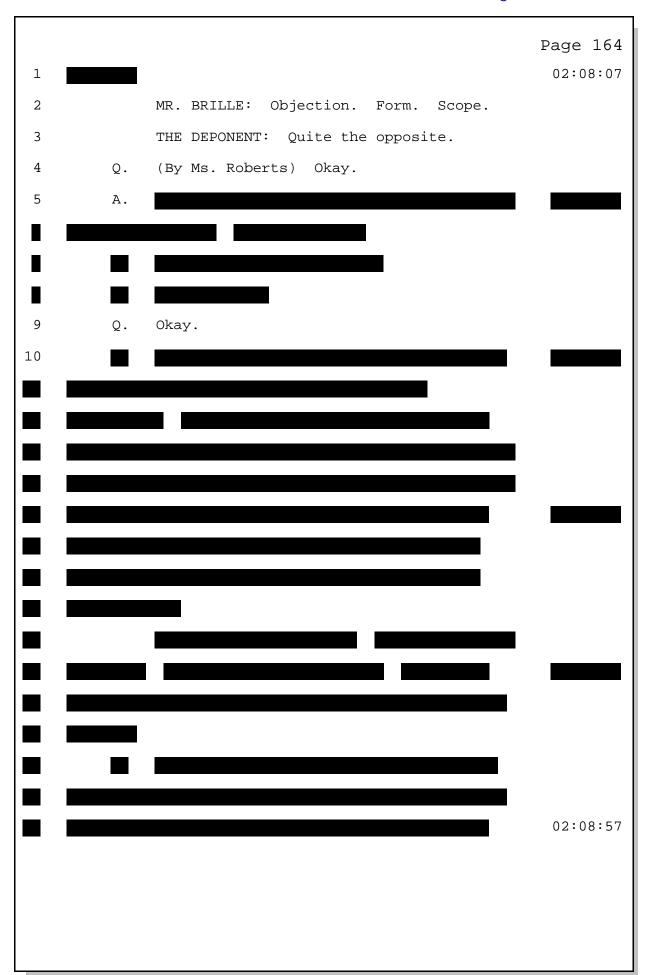
| | | Page 159 |
|----|---|----------|
| 1 | Q. I got it right? | 02:02:06 |
| 2 | A. That's correct. You got it right. Good | |
| 3 | work. | |
| 4 | Q. Thank you. | |
| 5 | Were any law firms involved in the | 02:02:15 |
| 6 | analysis about whether to implement this | |
| | ? | |
| 8 | A. Right. So you have read the documents. | |
| 9 | | |
| | | 02:02:30 |
| 11 | Q. And when did Uber start considering | |
| 12 | the what we are calling the ? | |
| 13 | A. You know, that's before my time. But my | |
| 14 | best estimation would be in the summer/fall | |
| 15 | of 2016, but prior to my arrival at Uber. | 02:02:55 |
| 16 | Q. And I know you said this at the | |
| 17 | beginning, but you started in late 2016? | |
| 18 | A. Yeah, November 7th of 2016. | |
| 19 | Q. Okay. Then you said | |
| | | |
| | | |
| 22 | Were there law firms that were not | |
| 23 | authorized that provided the advice? | |
| 24 | A. Any other law firm was not authorized. | |
| 25 | Q. | 02:03:25 |
| | | |
| | | |
| | | |

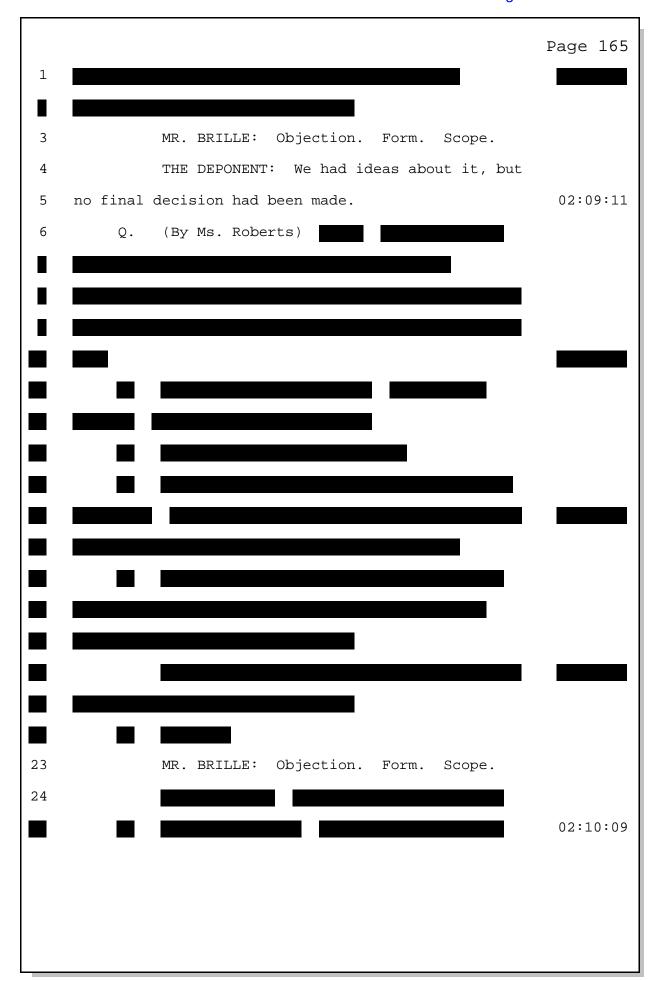












| | | Page 181 |
|----|--|----------|
| 1 | A. Right. | 02:31:38 |
| 2 | Q dated November 16th, 2016? | |
| 3 | A. Right. | |
| 4 | Q. Do you see at the the first line there | |
| 5 | says, "A number of ATG users recently lost email | 02:31:42 |
| 6 | older than 180 days. A restore is in progress"? | |
| 7 | A. I see that. | |
| 8 | Q. Okay. Do you have any information about | |
| 9 | that? | |
| 10 | A. I don't. | 02:31:51 |
| 11 | MS. ROBERTS: Why don't we take a break. | |
| 12 | I'm close to done. I just need to organize my | |
| 13 | notes here. | |
| 14 | THE VIDEOGRAPHER: We are off the record | |
| 15 | at 2:32 p.m. | 02:32:16 |
| 16 | (Recess taken.) | |
| 17 | THE VIDEOGRAPHER: We are back on the | |
| 18 | record at 2:39 p.m. | |
| 19 | Q. (By Ms. Roberts) Going back to beginning | |
| 20 | of the day when we talked about your discussions | 02:39:39 |
| 21 | with Mr. Meyhofer to prepare for your testimony | |
| 22 | A. Sure. | |
| 23 | Q you said you talked to him about | |
| 24 | nonattributable devices and ephemeral | |
| 25 | communications. | 02:39:49 |
| | | |

| | | Page 182 |
|----|---|----------|
| 1 | Did you discuss with him the use of | 02:39:49 |
| 2 | attorney-client privilege designations by ATG | |
| 3 | members? | |
| 4 | A. I mean, yes, we talked about how the | |
| 5 | attorney-client privilege should be used, and he | 02:40:05 |
| 6 | told me what his thoughts were on that. | |
| 7 | Q. Okay. And can you | |
| 8 | A. And and we also talked about your | |
| 9 | sort of sparking a memory here. I think we also | |
| 10 | did talk about the use of attorney-client privilege | 02:40:21 |
| 11 | as it related to the Waymo case. | |
| 12 | And I'm trying to remember. Yeah, we did | |
| 13 | talk about the attorney-client privilege and how | |
| 14 | how it should be used. | |
| 15 | Q. And what did Mr. Meyhofer tell you? | 02:40:43 |
| 16 | A. He relayed to me that it was important to | |
| 17 | use it only when appropriate, only when seeking | |
| 18 | legal advice from a lawyer. | |
| 19 | Q. And did you ask him about his personal | |
| 20 | use of the attorney-client privilege designation or | 02:40:58 |
| 21 | the use within the group? | |
| 22 | A. The discussion was more his | |
| 23 | understanding, and as the leader of ATG, his | |
| 24 | expectations of the people that report to him, | |
| 25 | which is everybody in ATG. That should be used | 02:41:11 |

| | | Page 183 |
|----|--|----------|
| 1 | only when appropriate. | 02:41:14 |
| 2 | Q. Did you ask him about any training that's | |
| 3 | been provided to the ATG group about | |
| 4 | attorney-client privilege designations? | |
| 5 | A. I didn't because I don't I don't know | 02:41:23 |
| 6 | that he would know about that. I did not. | |
| 7 | Q. If we go back to Topic 2 and I don't | |
| 8 | know if you want to get it in front of you or | |
| 9 | not | |
| 10 | A. Right. | 02:41:42 |
| 11 | Q but it asks about defendants' use | |
| 12 | of use of methods or strategies to conceal facts | |
| 13 | from discovery by external parties and litigation | |
| 14 | or government investigations. | |
| 15 | And then it lists, including improper | 02:41:50 |
| 16 | attorney-client and other privileged designations, | |
| 17 | ephemeral or encrypted communications, | |
| 18 | nonattributable devices or anonymous servers. | |
| 19 | In preparing for your testimony today, | |
| 20 | did you ask anybody about other methods or | 02:42:07 |
| 21 | strategies to conceal facts from discovery by | |
| 22 | external parties? | |
| 23 | A. I focused on the ones you identified. | |
| 24 | Q. So in your discussions with Mr. Meyhofer, | |
| 25 | you didn't ask him whether there are other methods | 02:42:22 |
| | | |

| | | Page 184 |
|----|---|----------|
| 1 | or strategies that the ATG group uses to conceal | 02:42:25 |
| 2 | facts from discovery by external parties? | |
| 3 | A. I didn't feel the need to ask him because | |
| 4 | it was clear in my discussion with him that any | |
| 5 | attempt to conceal information from discovery in | 02:42:36 |
| 6 | litigation was unacceptable. | |
| 7 | Q. Who said that, you or him? | |
| 8 | A. That's my summary of our discussion. I | |
| 9 | didn't feel the need to ask him, was there anything | |
| 10 | else other than the three things identified in your | 02:42:50 |
| 11 | notice. Because in whatever words he used, he made | |
| 12 | it clear to me that doing so would be unacceptable. | |
| 13 | Q. So he told | |
| 14 | A. Regardless of methodology. | |
| 15 | Q. And when you spoke with Mr. Gicinto, did | 02:43:00 |
| 16 | you ask him about the use of methods or strategies | |
| 17 | to conceal facts from discovery other than those | |
| 18 | specifically outlined in the topic? | |
| 19 | A. Not in way you are phrasing it, no. I | |
| 20 | don't recall that coming up. | 02:43:15 |
| 21 | Q. I'm sorry, I don't understand what you're | |
| 22 | saying, not in not in the way you're phrasing | |
| 23 | it. | |
| 24 | A. I didn't discuss that topic with him the | |
| 25 | way you phrased it in your question. | 02:43:23 |

Case 3:17-cv-00939-WHA Document 2509-6 Filed 01/19/18 Page 46 of 46 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

1 I, Rebecca L. Romano, a Certified Shorthand Reporter of the State of California, do hereby 2 3 certify: That the foregoing proceedings were taken 4 5 before me at the time and place herein set forth; that any witnesses in the foregoing proceedings, 6 7 prior to testifying, were administered an oath; 8 that a record of the proceedings was made by me using machine shorthand which was thereafter 9 transcribed under my direction; that the foregoing 10 transcript is true record of the testimony given. 11 12 Further, that if the foregoing pertains to the original transcript of a deposition in a Federal 13 14 Case, before completion of the proceedings, review of the transcript [] was [X] was not requested. 15 16 I further certify I am neither financially 17 interested in the action nor a relative or employee 18 of any attorney or any party to this action. 19 IN WITNESS WHEREOF, I have this date 2.0 subscribed my name. 21 Dated: December 22, 2017 22 23 24 Rebecca L. Romano, RPR, 25 CSR. No 12546 Page 190